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Date: January 29, 2004

Christine M. Spivey

**PATENT**  
36856.1101

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Takeshi NAKAO et al.	Art Unit: 2834
Serial No.: 10/614,412	Examiner: Unknown
Filed: July 7, 2003	
<b>Title: SURFACE ACOUSTIC WAVE DEVICE AND MANUFACTURING METHOD THEREFOR</b>	

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of three (3) references cited in the enclosed Chinese Notice of First Office Action issued in a corresponding Chinese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Chinese Notice of First Office Action from the corresponding Chinese Patent Application and a completed Form PTO-1449. Although the Chinese Notice of First Office Action does not mention U.S. Patent No. 5,432,392, Applicants submit it in the Information Disclosure Statement as it is related to German

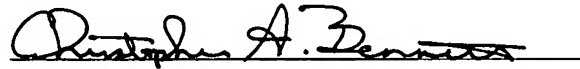
reference No. DE 44 00 980 C2. The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement, and that this is the first citation of these prior art references by a foreign patent office in a counterpart foreign patent application. Accordingly, no fee is necessary for the filing of this statement. Should the Commissioner determine otherwise, the Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages, including the petition fee under 37 C.F.R. § 1.17(p).

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: January 29, 2004

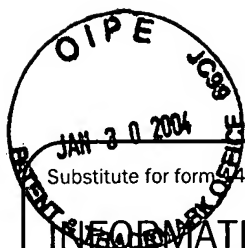
A handwritten signature in black ink, appearing to read "Christopher A. Bennett", is written over a horizontal line.

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Substitute for form 449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet **1** of **1****Complete if Known**

Application Number	10/614,412
Filing Date	July 7, 2003
First Named Inventor	Takeshi NAKAO
Art Unit	2834
Examiner Name	Unknown
Attorney Docket Number	36856.1101

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2(if known)			
	1	5,432,392	7/1995	Kadota et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number-Kind Code <sup>5</sup> (if known)				
	1	DE 44 00 980 C2	7/1994	Germany		
	2	CN 1237036A	12/1999	China		

Examiner  
SignatureDate  
Considered

\*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.